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PTO/SB/21 (09-04)

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are required to respond to a collection of information unless it displays a valid OMB control number. the Paperwork Reduction Act of 1995, no persons Application Number 10/076.254 Filing Date TRANSMITTAL February 12, 2002 First Named Inventor **FORM** Alain Rossmann Art Unit 3621 Examiner Name

Attornov Docket Number

Firmin Backer

Total Number	of Pages in This Subm	nission 9	Allomey Bocket Number	PA2900US		
		ENC	CLOSURES (Check a	II that apply))	<u> </u>
Amendr Extension Express Informa Certified Docume Reply to Incompl	Insmittal Form Fee Attached ment/Reply After Final Affidavits/declaration on of Time Request is Abandonment Required tion Disclosure State d Copy of Priority ent(s) of Missing Parts/ lete Application Reply to Missing Pa	n(s) Quest ement Rema	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocat Change of Correspondence Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on C	ion Address	After Appear of Appear of Appear (Appear (Appe	Allowance Communication to TC al Communication to Board beals and Interferences al Communication to TC al Notice, Brief, Reply Brief) etary Information a Letter Enclosure(s) (please Identify) bition Postcard; at under 37 CFR 3.73(b)
	under 37 CFR 1.52	or 1.53 SIGNATURE	OF APPLICANT, ATTO	ORNEY, O	R AGENT	
Signature	S	san La	-	-		
Printed name	Susan Yee	van jae			· · · · · · · · · · · · · · · · · · ·	
Date	February 14, 200	05	• •	Reg. No.	41,388	
I hereby certify sufficient postag the date shown	ge as first class mail	lence is being fact	ICATE OF TRANSMIS simile transmitted to the USP addressed to: Commissioner to	TO or deposi	ted with the Ur	nited States Postal Service with Alexandria, VA 22313-1450 on
Signature	عرا	Susan y	Leo			
Typed or printer	d name Susan Y	ree, Reg. No. 41,	388		Date	February 14, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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STATEMENT UNDER 37 CFR 3.73(b)
Applicant/Patent Owner: PSS Systems, Inc.
Application No./Patent No.: 10/076,254 Filed/Issue Date: 2/12/2002
Entitled: Method and Architecture for Providing Pervasive Security to Digital Assets
PSS Systems. Inc. , a corporation (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that it is: 1. the assignee of the entire right, title, and interest; or
2. an assignee of less than the entire right, title and interest. The extent (by percentage) of its ownership interest is %
in the patent application/patent identified above by virtue of either:
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 013905 , Frame 0194 , or for which a copy thereof is attached. OR
B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:
From: To: To: The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
2 From: To:
From: To: To: The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
3. From: To:
The document was recorded in the United States Patent and Trademark Office at Reel, Frame, or for which a copy thereof is attached.
Additional documents in the chain of title are listed on a supplemental sheet.
Copies of assignments or other ∕documents in the chain of title are attached. [NOTE: A separate copy (<i>i.e.</i> , a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.
Signature
<u>Deidre Paknad</u> (650) 496-2300
Printed or Typed Name Telephone Number
President and CEO
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSIGNEE:

PSS Systems, Inc.

TITLE:

See Schedule A

U.S. APPL. NO.:

See Schedule A

FILING DATE:

See Schedule A

COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

REVOCATION AND POWER OF ATTORNEY

I, the undersigned, **Deidre Paknad**, President and Chief Executive Officer for **PSS Systems Inc.**, the assignee of the entire right, title and interest in the United States patent applications and patents referenced in Schedule A, am authorized to act and sign on behalf of the assignee and hereby revoke all prior powers of attorney previously submitted in the U.S. patent applications and patents referenced in Schedule A. I hereby appoint the agents and attorneys associated with **Customer Number 22830** to prosecute these applications and to transact all business in the U.S. Patent and Trademark Office connected therewith.

Please direct all communication relative to these applications to the following correspondence address:

CARR & FERRELL LLP

2200 Geng Road Palo Alto, CA 94303

TEL: 650.812.3400 FAX: 650.812.3444

Respectfully submitted,

Date: 1/31/05

Deidre Paknad

President and Chief Executive Officer

PSS Systems, Inc.



Schedule A

Our Ref.	Title	Application No.	Filed/	Assignment	Assignment Made To:
\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \		/ Patent No.	Issued	Reel/Frame	
PA289911S	Multi-Route Client-Server Architecture	09/425,382	10/22/1999	011695/0080	NetI;
		6,633,901	10/14/2003	012653/0350	then to SecretSEAL Inc.,
				013515/0565	then to Pervasive Security
					Systems, Inc.,
				015530/0673	then to PSS Systems, Inc.
PA2900US	Method and Architecture for Providing Pervasive Security to Digital Assets	10/076,254	2/12/2002	013905/0194	PSS Systems, Inc.
PA2901US	Managing Secured Files in Designated	10/201,756	7/22/2002	CON of	Refer to 10/076,254.
	Locations			10/076,254; no	
				separate	
		-		assignment	
PA290211S	System and Method for Providing	10/076,181	2/12/2002	012593/0248	SecretSEAL Inc., then to
	Distributed Access Control to Secured			015539/0022	Pervasive Security
	Items				Systems, Inc.,
				015530/0673	then to PSS Systems, Inc.
PA290311S	System and Method for Providing	10/075,194	2/12/2002	012592/0434	SecretSEAL Inc., then to
	Multi-Location Access Management to			015539/0022	Pervasive Security
	Secured Items				Systems, Inc.,
			. •	015530/0673	then to PSS Systems, Inc.
PA290411S	Secured Data Format for Access	10/074,804	2/12/2002	012623/0795	SecretSEAL Inc., then to
	Control			015539/0022	Pervasive Security
		-			Systems, Inc.,
				015530/0673	then to PSS Systems, Inc.

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		/ Patent No.	Issued	Reel/Frame	
PA2905US	Method and Apparatus for Securing	10/074,996	02/12/2002	012612/0075	SecretSEAL Inc., then to
	Electronic Data			015539/0022	Pervasive Security
			•		Systems, Inc.,
		٠		015530/0673	then to PSS Systems, Inc.
PA2906US	Method and Apparatus for Accessing	10/074,825	02/12/2002	012617/0190	SecretSEAL Inc., then to
-	Secured Electronic Data Off-line	`		015539/0022	Pervasive Security
					Systems, Inc.,
				015530/0673	then to PSS Systems, Inc.
PA2907US	Method and System for Restricting Use	10/028,397	12/21/2002	012403/0941	SecretSEAL Inc., then to
:	of a Clipboard Application			015539/0022	Pervasive Security
				-	Systems, Inc.,
			,	015530/0673	then to PSS Systems, Inc.
PA2908US	System and Method for Providing	10/105,532	3/20/2002	013935/0224	PSS Systems, Inc.
	Different Levels of Key Security for				
	Controlling Access to Secured Items	•			
PA2909US	Dynamic Evaluation of Access Rights	10/127,109	4/22/2002	012968/0789	Pervasive Security
				-	Systems, Inc., then to
				015530/0673	PSS Systems, Inc.
PA2910US	System and Method for Providing	10/132,712	4/26/2002	013905/0143	PSS Systems, Inc.
	Manageability to Security Information				
	for Secured Items				
PA2911US	System and Method for Providing	10/206,486	7/25/2002	CON of	Refer to 10/132,712.
	Manageability to Security Information			10/132,712	
	for Secured Items				
PA2912US	System and Method for Imposing	10/146,207	5/14/2002	013930/0469	PSS Systems, Inc.
	Security on Copies of Secured Items				
PA2913US	Indications of Secured Digital Assets	10/124,179	4/17/2002	012968/0824	Pervasive Security
			-	015530/0673	Systems, Inc., then to PSS Systems, Inc.

	<u></u>		· rilea/	Assignment	Assignment Made 10.
		/ Patent No.	Issued	Reel/Frame	
PA2914US	Method and Apparatus for Securing	10/159,537	5/31/2002	012975/0878	Pervasive Security
	Digital Assets				Systems, Inc., then to
				014967/0825	PSS Systems, Inc.
PA2915US	Method and Architecture for Providing	10/286,575	11/1/2002	013508/0620	Pervasive Security
	Access to Secured Data From Non-				Systems, Inc., then to
	secured Clients			014967/0825	PSS Systems, Inc.
PA2916US	Method and System for Protecting	10/159,220	5/31/2002	012961/0718	Pervasive Security
	Electronic Data in Enterprise				Systems, Inc., then to
	Environment			014967/0825	PSS Systems, Inc.
PA2917US	Effectuating Access Policy Changes to	10/259,075	9/27/2002	013357/0157	Pervasive Security
	Designated Places for Secured Files	٠.			Systems, Inc., then to
				014967/0825	PSS Systems, Inc.
PA2918US	Method and System for Implementing	10/186,203	6/26/2002	013206/0113	Pervasive Security
	Changes to Security Policies in a				Systems, Inc., then to
	Distributed Security System		•	014967/0825	PSS Systems, Inc.
PA2919US	Method and System for Updating Keys	10/206,737	7/26/2002	013162/0122	Pervasive Security
	in a Distributed Security System				Systems, Inc., then to
				014967/0825	PSS Systems, Inc.
PA2920US	Security System for Generating Keys	10/246,079	9/17/2002	013304/0243	Pervasive Security
	from Access Rules in a Decentralized				Systems, Inc., then to
_	Manner and Methods Therefor			014967/0825	PSS Systems, Inc.
PA2921US	Hybrid Systems for Securing Digital	10/325,013	12/20/2002	013709/0017	PSS Systems, Inc.
	Assets			17.000	0 000
PA2922US	Method and Apparatus for Securing/Unsecuring Files by File	10/325,102	12/20/2002	013710/0147	PSS Systems, Inc.
	Crawling			1170101010	
PA2923US	Guaranteed Delivery of Changes to	10/186,204	6/26/2002	013218/0644	Pervasive Security
	Security Policies in a Distributed				Systems, Inc., then to
	System			013959/0467	PSS Systems, Inc.

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Our Ref.	Title	Application No.	Filed/	Assignment	Assignment Mane 10.
		/ Patent No.	Issued	Reel/Frame	
PA2924US	Managing File Access Via a Designated Place	10/259,078	9/27/2002	013918/0638	PSS Systems, Inc.
217500040	Document Security System that Permits	10/262 218	9/30/2002	013349/0057	Pervasive Security
LA22203	External Isers to Gain Access to				Systems, Inc., then to
	Secured Files			013959/0467	PSS Systems, Inc.
PA2926HS	Method and System for Protecting	10/242,185	9/11/2002	013289/0204	Pervasive Security
0007/711	Encrypted Files Transmitted Over a				Systems, Inc., then to
	Network			015530/0673	PSS Systems, Inc.
PA292711S	Security System that Uses Indirect	10/286,524	11/1/2002	013451/0961	Pervasive Security
	Password-Based Encryption				Systems, Inc., then to
				015530/0673	PSS Systems, Inc.
PA292811S	Security System Using Indirect Key	10/295,363	11/15/2002	013710/0139	Pervasive Security
	Generation from Access Rules and	•			Systems, Inc., then to
	Methods Therefor			015530/0673	PSS Systems, Inc.
PA2929US	Security System with Staging Capabilities	10/327,320	12/20/2002	013710/0129	PSS Systems, Inc.
PA2930US	Multi-Level Cryptographic	10/404,566	3/31/2003	Assignment	PSS Systems, Inc.
	Transformations for Securing Digital	•		signed	
	Assets			3/28/2003 and	
				filed with	
				application; no	
				reel/frame	
				information	
PA2931US	Method and Apparatus for Uniquely Identifying Files	10/368,277	2/18/2003	014477/0634	PSS Systems, Inc.
PA2932US	Method and System for Securing Digital Assets Using Content Type	10/405,587	4/1/2003	None found	
	Designations				

Our Ref.	Title	Application No.	Filed/	Assignment	Assignment Made To:
		/ Patent No.	Issued	Reel/Frame	
PA2933US	Method and System for Managing Security Tiers	10/445,657	5/27/2003	014124/0642	PSS Systems, Inc.
PA2934US	Method and System for Using Remote Headers to Secure Electronic Files	10/448,806	5/30/2003	014134/0170	PSS Systems, Inc.
PA2935US	Method and System for Enabling Users	10/610,832	6/30/2003	014270/0103	PSS Systems, Inc.
	of a Group Shared Across Multiple File Security Systems to Access Secured				
PA2936US	Method and System for Fault-Tolerant Transfer of Files Across a Network	10/642,041	8/15/2003	014408/0470	PSS Systems, Inc.
PA2937US	Method and System for Securing	10/677,049	9/30/2003	014575/0301	PSS Systems, Inc.
	Digital Assets Using Process-Driven	.			
	Security Policies				
PA2938US	Method and Apparatus for	10/676,474	9/30/2003	014570/0346	PSS Systems, Inc.
	Transitioning Between States of				
	Security Policies Used to Secure				
	Electronic Documents				
PA2939US	Method and System for Securing Digital Assets Using Time-Based	10/676,850	9/30/2003	014367/0687	PSS Systems, Inc.
DA 20401 IS	Method and System for Proxy Approval	10/690 243	10/20/2003	014625/0024	PSS Systems, Inc.
	of Security Changes for a File Security				
	System				
PA2941US	Method and System for Distribution of	10/742,710	12/19/2003	014833/0983	PSS Systems, Inc.
	Notifications in File Security Systems				
PA2942US	Method and System for Validating	10/745,183	12/22/2003	014853/0855	PSS Systems, Inc.
	Timestamps				
PA2943US	Method and System for Providing	10/815,251	3/30/2004	015180/0354	PSS Systems, Inc.
	Document Retention Using				
	Cryptography				

Our Ref.	Title	No.		Assignment	Assignment Made To:
_		/ Patent No.	Issued	Reel/Frame	
PA2944US	Method and System for Providing	10/815,229	3/30/2004	015180/0533	PSS Systems, Inc.
	Cryptographic Document Retention with Off-Line Access				
PA2945US	Multi-Level File Digests	10/894,493	7/19/2004	Assignment	PSS Systems, Inc.
				signed 7/7/2004	